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Attorney's Docket No. 3801.P007

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Alex D. Poon) Examiner: Akers, Geoffrey R.
Serial No.: 09/491,703) Art Unit: 2164
Filed: January 26, 2000)
For: METHOD AND APPARATUS)
FOR FACILITATING USER)
SELECTION OF AN ITEM)
CATEGORY IN AN ONLINE)
AUCTION)

Commissioner for Patents
Washington, D.C. 20231

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GROUP 3600

PRELIMINARY AMENDMENT

Dear Sir:

Prior to examination of the above-referenced application on the merits,
Applicant respectfully requests the Examiner to enter this Preliminary
Amendment.

FIRST CLASS CERTIFICATE OF MAILING

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on October 11, 2002

Date of Deposit

Patricia M. Richard

Name of Person Mailing Correspondence

PRichard

Signature

October 11, 2002

Date



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2164

Attorney's Docket No.: 3801.P007

Patent

In re the Application of: Alex D. Poon

(inventor(s))

Application No.: 09/491,703

Filed: 1/26/00

For: METHOD AND APPARATUS FOR FACILITATING USER SELECTION OF AN ITEM CATEGORY IN AN ONLINE AUCTION

(title)

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR: Transmitted herewith is an Amendment for the above application.

☐ Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 is enclosed.

☒ No additional fee is required.

The fee has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | (Col. 3) |
|--------------------------|---------------------------------------------------|-------|---------------------------------|---------------|
| | Claims Remaining After Amd. | | Highest No. Previously Paid For | Present Extra |
| Total Claims | * 76 | Minus | ** 76 | 0 |
| Indep. Claims | * 7 | Minus | *** 7 | 0 |
| <input type="checkbox"/> | First Presentation of Multiple Dependent Claim(s) | | | |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

| SMALL ENTITY | |
|----------------|----------------|
| Rate | Additional Fee |
| X9 | \$ |
| X40 | \$ |
| +135 | \$ |
| Total Add. Fee | \$ |

| OTHER THAN A SMALL ENTITY | |
|---------------------------|----------------|
| Rate | Additional Fee |
| X18 | \$ 0 |
| X80 | \$ 0 |
| +270 | \$ |
| Total Add. Fee | \$ 0.00 |

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Date

_____ A check in the amount of \$_____ is attached for presentation of additional claim(s).
_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$_____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$_____.

A duplicate copy of this sheet is enclosed.

X The Commissioner of Patents and Trademarks is hereby authorized to charge payment of the
following fees associated with this communication or credit any overpayment to Deposit Account
No. 02-2666 (a duplicate copy of this sheet is enclosed):

X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

Date: October 11, 2002

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